

# SRO Arbitration Figures

Three-Year Chart 1988-1990

Year	Total Cases Received	Total Cases Concluded Including Settlements	Small Claims Received	Small Claims Concluded	Public Customer Cases Decided	Awards In Favor Of Public
<b>AMERICAN STOCK EXCHANGE</b>						
1988	100	63	21	29	27	12
1989	69	73	26	15	26	13
1990	69	72	21	21	25	15
<b>CHICAGO BOARD OPTIONS EXCHANGE</b>						
1988	149	89	22	27	48	12
1989	71	105	17	20	43	14
1990	67	85	16	18	32	16
<b>MIDWEST STOCK EXCHANGE</b>						
1988	1	7	0	0	0	0
1989	5	6	0	0	0	0
1990	4	3	0	0	1	1
<b>MUNICIPAL SECURITIES RULEMAKING BOARD</b>						
1988	115	126	49	52	63	37
1989	108	93	41	40	50	36
1990	75	90	41	32	40	22
<b>NATIONAL ASSOCIATION OF SECURITIES DEALERS</b>						
1988	3,990	2,169	1,084	539	934	432
1989	3,651	4,050	1,417	967	2,097	1,130
1990	3,617	4,019	703	900	1,530	826
<b>NEW YORK STOCK EXCHANGE</b>						
1988	1,623	1,196	263	235	440	228
1989	1,407	1,458	201	229	527	267
1990	1,378	1,466	222	199	499	256
<b>PACIFIC STOCK EXCHANGE</b>						
1988	99	77	30	40	45	20
1989	61	93	19	27	86	37
1990	93	68	25	25	45	26
<b>PHILADELPHIA STOCK EXCHANGE</b>						
1988	20	15	5	14	2	1
1989	32	22	9	10	15	7
1990	29	34	5	9	15	7
1988	6,097	3,742	1,474	927	1,559	742
1989	5,404	5,900	1,730	1,308	2,844	1,504
1990	5,332	5,837	1,033	1,204	2,187	1,169